



# **Radium Life Tech. Co., Ltd.**

## **Code of Ethics For Directors And Officers**

### Article 1 Purpose and Basis

In order to establish ethical standards for the conduct of all directors and managers of the Company, this Code has been formulated with reference to the "Reference Model Code of Ethical Conduct for Listed Companies" issued by the competent authorities for compliance.

### Article 2 Target Audience/Scope of Application

This Code applies to all directors of the Company and to managers regulated by the relevant securities authorities.

### Article 3 Principle of Integrity

In the performance of their duties, the directors and managers of the Company shall comply with the relevant laws and regulations and the provisions of this Code, exercise due care and diligence as good stewards, uphold the principles of honesty and integrity and observe professional standards of conduct.

### Article 4 Prevention of Conflict of Interest

Directors and managers of the Company shall conduct their affairs in an objective and efficient manner and shall not allow their personal gains and interests to override those of the Company. Accordingly, all directors and managers are responsible for pursuing the best interests of the Company and avoiding conflicts of interest.

In the event that the affiliates of the aforementioned persons are involved in any loan of funds or provision of guarantees, significant asset transactions, or purchase (sales) transactions with the Company, the relevant persons of the Company mentioned above shall explain to the Company whether there is any potential conflict of interest with the Company and shall follow the Company's internal procedures and relevant laws and regulations in order to prevent conflict of interest.

Directors and managers of the Company who have an interest which may be detrimental to the interests of the Company shall take the initiative to state the materiality of their interest at a meeting of the Directors and shall not participate in



any discussion or vote if such interest is detrimental to the interests of the Company, and shall recuse themselves from any discussion or vote without exercising their voting rights on behalf of other Directors.

#### Article 5 Avoidance of Opportunities for Personal Gain

The directors and managers of the Company shall not:

- (1) Use the property or information of the Company or otherwise have recourse to their office for personal gain.
- (2) Use the property or information of the Company or use their office for personal gain.
- (3) Compete with the Company except with the consent of the shareholders or the Board of Directors of the Company to remove their prohibition from competing.

Whenever there is an opportunity for the Company to make a profit, it shall be the duty of the Directors and Managers to promote the opportunity for the Company to obtain a proper and lawful advantage.

#### Article 6 Duty of Confidentiality

The Directors and the Manager shall be under an obligation of confidentiality in relation to information about the Company itself or its customers from (to) whom it purchases (sells) goods, except where such disclosure is authorised or required by law. Information that should be kept confidential includes: all unpublished information that could be used by competitors or whose disclosure could harm the Company or its customers.

#### Article 7 Fair Dealing

Directors and managers shall not misrepresent material matters to the Company's customers, competitors and employees through manipulation, concealment, misuse of information obtained in the course of their duties, or gain improper advantage by means of other unfair dealing.

#### Article 8 Prohibition of Insider Trading

The directors and managers of the Company are required to comply with the laws



and regulations relating to the prevention of insider trading and other securities laws and regulations concerning the handling of stock exchange and trade secret information. The directors and managers of the Company shall not use any information that comes to their knowledge in the course of their duties, which may materially affect the price of securities trading, to engage in securities trading until such information has been publicly disclosed by the person or entity authorized to release such information in accordance with the relevant laws and regulations.

#### Article 9 Protection and Proper Use of Company Assets

Directors and managers are responsible for safeguarding the assets of the Company and ensuring that they are used effectively and lawfully for official purposes. Theft, negligence or wastage of the Company's assets could directly affect the Company's ability to make a profit.

#### Article 10 Compliance with Laws and Regulations

The directors and managers of the Company shall comply with the relevant laws and regulations such as the Companies Act, the Securities and Exchange Act, and the internal regulations of the Company.

#### Article 11 The Company shall encourage the reporting of any illegal or unlawful conduct in violation of this Code.

The Company shall reinforce the promotion of ethics and encourage employees to report suspected or observed violations of the Code of Conduct or the Code of Ethical Conduct to the Audit Committee, the Manager, the Head of Internal Audit or other appropriate personnel. The Company will handle reports in a confidential manner and will let employees know that the Company will do its utmost to protect the safety of those who make reports in good faith.

#### Article 12 Disciplinary Measures

In the event of a breach of the Code of Ethical Conduct by a director or manager of the Company, the Company shall deal with the breach in accordance with the relevant disciplinary provisions. In the event that a violation of this Code is sanctioned, the violator may file a complaint in accordance with the relevant



regulations.

#### Article 13 Procedures for Exemptions

Any exemptions from compliance with the Code by directors and managers of the Company shall be approved by a resolution of the Board of Directors and shall be immediately disclosed on the Market Observation Post System (MOPS), including the date of the Board of Directors' approval of the exemption, the objections or reservations of the independent directors, the period of the exemption, the reasons for the exemption and the criteria for the exemption, so as to enable shareholders to evaluate the appropriateness of the Board of Directors' resolution, to discourage arbitrary or questionable exemptions from compliance with the Code, and to ensure that any exemptions from compliance with the Code are subject to appropriate control mechanisms for the protection of the Company.

#### Article 14 Means of Disclosure

The Company shall disclose its code of ethical conduct on the Company's website, annual report, public statements and on the Market Observation Post System. The same shall apply to amendments.

#### Article 15 Implementation and Revision

This Code of Ethical Conduct shall come into effect after it has been approved by the Board of Directors. The same shall apply to amendments.

#### Article 16 This Code was established on 9 December 2014.

This Code was first revised on 12 November 2019.